01/13/2010 14:41

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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

FURINI 3X	For O	ther Than An	Authorized Co	mmittee	C	Office Use Only
NAME OF COMMITTEE (in full)		EC MAILING LAB PE OR PRINT	EL Example: over the li	f typing, type nes		
INVESTMENT COM	MPANY INSTITU		CTION COMMITTE	E (ICI PAC)		
	1 1 1 1			1 1 1 1 1		
ADDRESS (number and s	treet) 140	1 H STREET NW	SUITE 1200			
Check if differe than previously reported. (ACC	ı WA	SHINGTON			DC	20005
2. FEC IDENTIFICATI	ON NUMBER	~	CITY 🛋		STATE	ZIPCODE 🛕
C00105981		;	3. IS THIS REPORT	NEW (N) OR	X AME (A)	NDED
July 15 Quarterly October 1: Quarterly January 3:	rts: Report(Q1) Report(Q2) Report(Q3) Report(YE) d-Year n-election) (MY)	(d) 30-Day Post -Electi Report for th	n Convilection on Gene	May 20 (M5) Jun 20 (M6) Jul 20 (M7) ary (12P) ention (12C) ral (30G)	Aug 20 Sep 20 Oct 20 General (12 Special (120 Runoff (30F	Year Only) Dec 20 (M12) (Non-Election Year Only) Jan 31 (YE) G) Runoff (12R) G) in the State of
5. Covering Period	10	01 2009	9 th	rough 10	31	2009
I certify that I have examing type or Print Name of Tr		nd to the best of m Peter Gallary	ny knowledge and be	lief it is true, correct	and complete.	
Signature of Treasurer	Electronically F	iled by Mr Peter	Gallary		Date 01	13 2010
NOTE : Submission of fa	alse, erroneous, o	or incomplete inform	mation may subject t	ne person signing th	is Report to the pe	enalties of 2 U.S.C 437g.
Office Use						FEC FORM 3X (Rev. 12/2004)

FE6AN026

SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) 2/28

Write or Type Committee Name INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

D " D 0 1 10 2009 10 3 1 2009 Report Covering the Period: From: To: **COLUMN A COLUMN B This Period** Calendar Year-to-Date (a) Cash on Hand 2009° 404955.26 January 1 (b) Cash on Hand at 271761.75 Begining of Reporting Period 64919.90 549676.39 (c) Total Receipts (from Line 19) (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 336681.65 954631.65 6(a) and 6(c) for Column B) 37517.12 655467.12 Total Disbursements (from Line 31) Cash on Hand at Close of Reporting Period 299164.53 299164.53 (subtract Line 7 from Line 6(d)) 9. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D)

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004) 3 / 28

Write or Type Committee Name

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

м м 1 0 0 1 м°м 10 3 1 2009 2009 Report Covering the Period: From: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 59818.16 479106.61 (i) Itemized (use Schedule A) 100.00 1531.83 (ii) Unitemized (iii) TOTAL (add 59918.16 480638.44 Lines 11(a)(i) and (ii) 0.00 0.00 (b) Political Party Committees (c) Other Political Committees 5000.00 67500.00 (such as PACs) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry 548138.44 64918.16 Totals to Line 33, page 5) 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees 0.00 0.00 13. All Loans Received 0.00 0.00 14. Loan Repayments Received 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5) 16. Refunds of Contributions Made to Federal candidates and Other 0.00 1500.00 Political Committees 17. Other Federal Receipts 1.74 37.95 (Dividends, Interest, etc.) 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 0.00 (from Schedule H3) 0.00 0.00 (b) Levin Funds (from Schedule H5) 0.00 0.00 (c) Total Transfer (add 18(a) and 18(b)). 19. Total Receipts (add Lines 11(d), 64919.90 549676.39 12, 13, 14, 15, 16, 17, and 18(c)) 20. Total Federal Receipts 64919.90 549676.39 (subtract Line 18(c) from Line 19)

DETAILED SUMMARY PAGE

FEC Form 3X (Rev. 02/2003)

of Disbursements

4 / 28

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
. Operating Expenditures: (a) Shared Federal/Non-Federal		
Activity (from Schedule H4)	0.00	0.00
(i) Federal Share		0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating	0.00	0.00
Expenditures	. 0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))	0.00	0.00
2. Transfers to Affiliated/Other Party		
Committees	0.00	0.00
Federal Candidates/Committeesand Other Political Committees	37500.00	637350.00
Independent Expenditure		
(use Schedule E)	. 0.00	0.00
Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
	0.00	0.00
S. Loan Repayments Made	0.00	0.00
7. Loans Made	0.00	0.00
Refunds of Contributions To: (a) Individuals/Persons Other	0.00	18000.00
Than Political Committees		18000.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	0.00	0.00
(such as PACs)(d) Total Contribution Refunds	0.00	0.00
(add Lines 28(a), (b), and (c))	0.00	18000.00
Other Disbursements	17.12	117.12
 Federal Election Activity (2 U.S.C 431(20) (a) Shared Federal Election Activity))	
(from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely		
With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
Total Disbursements (add Lines 21(c), 2		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	37517.12	655467.12
, , , , , , , , , , , , , , , , , , , ,		
2. Total Federal Disbursements		
(subtract Line 21(a)(ii) and Line 30(a)(ii)	07517 10	CEE 407 10
from Line 31)	37517.12	655467.12

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003) 5 / 28

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
from Line 11(d), page 3)	64918.16	548138.44
44. Total Contribution Refunds (from Line 28(d))	0.00	18000.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	64918.16	530138.44
66. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	0.00
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
88. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

FE6AN026

9	SCHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 6 / 28
	TEMIZED RECEIPTS		for each category of the	(check only one)
•	I EIVIIZED NECEIP I S		Detailed Summary Page	X 11a
				13 14 15 16 17
	Any information copied from such Reports and Stor for commercial purposes, other than using the	atements ma	y not be sold or used by any perso dress of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
	NAME OF COMMITTEE (In Full)			
	NVESTMENT COMPANY INSTITUTE	POLITICAL	L ACTION COMMITTEE (ICI	PAC)
∠ A.	Full Name (Last, First, Middle Initial) Mr. George C. W. Gatch			Date of Receipt
	Mailing Address 245 Park Ave.			M M / D D / Y Y Y Y
	3rd Fl.	-		10 01 2009
	City	State	Zip Code	Transaction ID: 8178699
	New York	NY	10167-0001	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		5000.00
	Name of Employer	Occupatio	n	-
	J.P. Morgan Asset Managem- ent	Presiden	t & CEO, J.P. Morgan Funds	
	Receipt For:	Aggregate	e Year-to-Date ▼	7
	Primary General		5000.00	
	Other (specify)	0 0	5000.00	
- В.	Full Name (Last, First, Middle Initial) Mr. Stuart Bohart			Date of Receipt
	Mailing Address 522 Fifth Ave			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 8243898
	New York	NY	10036-7601	Amount of Each Receipt this Period
	FEC ID number of contributing			5000.00
	federal political committee.	C		5000.00
	Name of Employer Morgan Stanley	Occupatio		
		<u> </u>	g Director	_
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General	' '	5000.00	
	Other (specify)			
С. С.	Full Name (Last, First, Middle Initial) Ms. Diana P Herrmann			Date of Receipt
	Mailing Address 425 East 86th Street Apt 3C			10 05 7 9 9 9
	City	State	Zip Code	Transaction ID: 8267198
	New York	NY	10028-6449	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		3000.00
	Name of Employer Aquila Investment Mgt LLC	Occupation Presiden	on It and CEO	
	Receipt For:		e Year-to-Date	7
	Primary General	33 -33		1
	Other (specify) ▼		3000.00	
Γ				
	SUBTOTAL of Receipts This Page (optional)		·····	13000.00

•	SCHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 7 / 28
1	TEMIZED RECEIPTS		for each category of the	(check only one)
	TEMIZED RECEIL 13		Detailed Summary Page	X 11a 11b 11c 12
Г				13 14 15 16 17
	Any information copied from such Reports and Sta or for commercial purposes, other than using the r	atements may name and add	y not be sold or used by any perso dress of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
	NAME OF COMMITTEE (In Full)			
	NVESTMENT COMPANY INSTITUTE	POLITICAL	L ACTION COMMITTEE (ICI	PAC)
Α.	Full Name (Last, First, Middle Initial) Mr. Richard S Davis			Date of Receipt
	Mailing Address 32 W. Cedar St			10 05 7 9 9
	City	State	Zip Code	Transaction ID: 8267209
	Boston	MA	02108-1212	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		5000.00
	Name of Employer BlackRock, Inc.	Occupatio	n g Director	
	Receipt For:			
	Primary General	Aggregate	e Year-to-Date ▼	
	Other (specify) ▼		5000.00	
- В.	Full Name (Last, First, Middle Initial) Mr. Michael J Cosgrove			Date of Receipt
	Mailing Address 3003 Summer St			10 05 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 8267221
	Stamford	CT	06905-4316	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		5000.00
	Name of Employer GE Mutual Funds	Occupatio Pres. & 0	n CEO, Mutual Funds	
	Receipt For:	Aggregate	e Year-to-Date	
	Primary General Other (specify) ▼		5000.00	
С.	Full Name (Last, First, Middle Initial) Mr. F. Gregory Ahern			Date of Receipt
	Mailing Address 1401 H Street NW			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 8286505
	Washington	DC	20005-2202	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1000.00
	Name of Employer Investment Company Instit- ute	Occupatio Chief Pu	n blic Communications Officer	
	Receipt For:		e Year-to-Date ▼	7
	Primary General	33. 08410		
	Other (specify) ▼		1000.00	
	SUBTOTAL of Receipts This Page (optional)			11000.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 8 / 28 (check only one) X
NAME OF COMMITTEE (In Full)	Statements may not be sold or used by any persone name and address of any political committee to TE POLITICAL ACTION COMMITTEE (IC	on for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. Donald J Boteler Mailing Address 1401 H Street NW Suite 1200 City Washington FEC ID number of contributing federal political committee. Name of Employer Investment Company Institute Receipt For: Primary General Other (specify)	State Zip Code DC 20005-2202 C Occupation VP - Operations & Training Aggregate Year-to-Date 772.65	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Full Name (Last, First, Middle Initial) Mr. Jim Hart Mailing Address 1401 H Street NW Suite 1200 City Washington FEC ID number of contributing federal political committee. Name of Employer Investment Company Institute Receipt For: Primary General Other (specify)	State Zip Code DC 20005-2202 C Occupation Political Affairs Officer Aggregate Year-to-Date 386.41	Date of Receipt M M M O D D O D O D O D O D O D O D O D
Full Name (Last, First, Middle Initial) Ms. Karrie McMillan Mailing Address 6411Furlong Rd City McLean FEC ID number of contributing federal political committee. Name of Employer Willkie Farr & Gallagher LLP Receipt For: Primary General Other (specify)	State Zip Code VA 22101 C Occupation General Counsel Aggregate Year-to-Date 1545.30	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
SUBTOTAL of Receipts This Page (optional)	·	159.08

	SCHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 9 / 28
	ITEMIZED RECEIPTS		for each category of the	(check only one)
	II LIMIZED NECLIF 13		Detailed Summary Page	X 11a 11b 11c 12
Г				13 14 15 16 17
	Any information copied from such Reports and Sta or for commercial purposes, other than using the r	atements ma name and ad	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
[NAME OF COMMITTEE (In Full)			
	NVESTMENT COMPANY INSTITUTE	POLITICA	L ACTION COMMITTEE (ICI	PAC)
Α.	Full Name (Last, First, Middle Initial) Mr. Paul S Stevens			Date of Receipt
	Mailing Address 630 South Fairfax St			10 09 2009
	City	State	Zip Code	Transaction ID: 8294151
	Alexandria	VA	22314-3834	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С	0 0 0 0	227.27
		10		_
	Name of Employer Investment Company Instit- ute	Occupation Presiden		
	Receipt For:	Aggregate	e Year-to-Date 🔻	
	Primary General		3863.59	1
	Other (specify)	0 0	0000.00	
- В.	Full Name (Last, First, Middle Initial) Mr. Mike McNamee			Date of Receipt
	Mailing Address 1401 H Street NW			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	Suite 1200 City	State	Zip Code	
	Washington	DC	20005-2202	Transaction ID: 8294152 Amount of Each Receipt this Period
	-		20005-2202	Amount of Each Receipt this Feriod
	FEC ID number of contributing federal political committee.	C		22.73
	Name of Employer Investment Company Instit-	Occupation	n irector, Public Communicatio	
	ute Receipt For:	-	e Year-to-Date)
	Primary General	Aggregate	e rear-lo-dale 🔻	1
	Other (specify) ▼		386.41	
-	Full Name (Last, First, Middle Initial)			Date of Receipt
C.	Mr. Daniel K Kingsbury Mailing Address 60 State St.			Date of Receipt
				10 09 2009
	City	State	Zip Code	Transaction ID: 8294154
	Boston	MA	02109-1801	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		3000.00
	Name of Employer Pioneer Investment Manage- ment USA Inc.	Occupation Presiden		
	Receipt For:		e Year-to-Date 🔻	7
	Primary General	33 3		1
	Other (specify) ▼		3000.00	
Γ				
	SUBTOTAL of Receipts This Page (optional)			3250.00
ŀ			<u> </u>	-

SCHEDULE A (FEC Form 3 ITEMIZED RECEIPTS Any information copied from such Reports	for each category of the Detailed Summary Page and Statements may not be sold or used by any persi	FOR LINE NUMBER: PAGE 10 / 28 (check only one) X 11a 11b 11c 12 13 14 15 16 17 on for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)	ng the name and address of any political committee to	
Full Name (Last, First, Middle Initial) Mr. Gregory E Johnson Mailing Address One Franklin Pkw	/y.	Date of Receipt
City	State Zip Code	1 0 1 3 2 0 0 9 Transaction ID: 8299904
San Mateo	CA 94403-1906	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer Franklin Resources, Inc.	Occupation President & CEO	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	
Full Name (Last, First, Middle Initial) Mr. Rupert H Johnson, Jr.		Date of Receipt
Mailing Address One Franklin Pkw	·	10 / 13 / 2009
City San Mateo	State Zip Code CA 94403-1906	Transaction ID: 8299905
FEC ID number of contributing federal political committee.	CA 94403-1906	Amount of Each Receipt this Period 5000.00
Name of Employer Franklin Templeton Invest- ments	Occupation Vice Chairman	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	
Full Name (Last, First, Middle Initial) Mr. Basil Fox		Date of Receipt
Mailing Address One Franklin Pkw	y.	10 13 YYYYY 10 13 2009
City San Mateo	State Zip Code CA 94403-1906	Transaction ID: 8299906 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Franklin Templeton Invest- or Services L	Occupation President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	
SUBTOTAL of Receipts This Page (option	nal)	11000.00

SCHEDULE A (FEC Form 3 ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 11 / 28 (check only one) X 11a 11b 11c 12 13 14 15 16 17
or for commercial purposes, other than usin NAME OF COMMITTEE (In Full)	and Statements may not be sold or used by any persor g the name and address of any political committee to s	n for the purpose of soliciting contributions solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. Dean Sackett	OTE FORTHOLE ACTION COMMITTEE (IOI)	Date of Receipt
Mailing Address 1401 H Street NW		10 13 2009
City	State Zip Code	Transaction ID: 8299910
Washington	DC 20005-2202	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Investment Company Instit- ute	Occupation Government Affairs, Fin. Svcs.	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 500.00	
Full Name (Last, First, Middle Initial) Mr. Joseph D Kringdon		Date of Receipt
Mailing Address 60 State Street		10 13 2009
City	State Zip Code	Transaction ID: 8299912
Boston	MA 02109-1801	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Pioneer Funds Distributor, Inc.	Occupation EVP & Head of U.S. Retail Distribution	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	
Full Name (Last, First, Middle Initial) Mr. Gregg M Dooling		Date of Receipt
Mailing Address 60 State St		10 13 YYYYY 10 13 2009
City Boston	State Zip Code MA 02109-1801	Transaction ID: 8299913 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Pioneer Investment Manage- ment USA Inc.	Occupation Senior Vice President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	
SUBTOTAL of Receipts This Page (option	nal)	2500.00

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	Use separate so for each categor Detailed Summa	y of the
Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full)	Statements may not be sold or used he name and address of any political	d by any person for the purpose of soliciting contributions I committee to solicit contributions from such committee.
INVESTMENT COMPANY INSTITU	TE POLITICAL ACTION COMM	MITTEE (ICI PAC)
Full Name (Last, First, Middle Initial) Mr. Charles B Johnson		Date of Receipt
Mailing Address One Franklin Pkwy.		10 14 2009
City	State Zip Code	Transaction ID: 8300486
San Mateo	CA 94403-1906	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer Franklin Templeton Invest- ments	Occupation President/CEO	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	5000.00
Full Name (Last, First, Middle Initial) B. Mr. William J Lippman	•	Date of Receipt
Mailing Address One Parker Plaza		10 14 2009
City	State Zip Code	Transaction ID: 8300487
Fort Lee	NJ 07024-2920	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Franklin Advisory Service- s. LLC	Occupation President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	1000.00
Full Name (Last, First, Middle Initial) C. Mr. Brian K Reid	1	Date of Receipt
Mailing Address 1401 H Street NW Suite 1200		10 16 2009
City <u>Washington</u>	State Zip Code DC 20005-2202	Transaction ID: 8301704 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer Investment Company Instit- ute	Occupation Cheif Economist	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	5000.00
SUBTOTAL of Receipts This Page (optional)		11000.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS Any information copied from such Reports and S	Use separate schedule(s) for each category of the Detailed Summary Page Statements may not be sold or used by any perso	FOR LINE NUMBER: PAGE 13 / 28 (check only one) X 11a 11b 11c 12 13 14 15 16 17 In for the purpose of soliciting contributions
or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	e name and address of any political committee to	solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. Kent Strazza Mailing Address One Franklin Pkwy		Date of Receipt 1 0 1 9 2 0 0 9
City San Mateo	State Zip Code CA 94403-1906	Transaction ID: 8649056 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Franklin Templeton Invest- or Services L Receipt For: Primary Other (specify) ▼	Occupation President Aggregate Year-to-Date 500.00	
Full Name (Last, First, Middle Initial) Mr. John Lusk Mailing Address 500 E Broward Blvd Ste 1500		Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Ft Lauderdale FEC ID number of contributing federal political committee.	State Zip Code FL 33394-3000 C	Transaction ID: 8649057 Amount of Each Receipt this Period 500.00
Name of Employer Franklin Templeton Companies, LLC Receipt For: Primary General Other (specify) ▼	Occupation Executive VP - Portfolio Operations Aggregate Year-to-Date 500.00	
Full Name (Last, First, Middle Initial) Mr. Peter D Jones Mailing Address One Franklin Pkwy		Date of Receipt
City San Mateo	State Zip Code CA 94403-1906	Transaction ID: 8649058 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Franklin/Templeton Distributors, Inc. Receipt For: Primary General Other (specify) ▼	Occupation President Aggregate Year-to-Date 1000.00	
SUBTOTAL of Receipts This Page (optional)	· · · · · · · · · · · · · · · · · · ·	2000.00

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS Any information copied from such Reports a	for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 14 / 28 (check only one) X
or for commercial purposes, other than using NAME OF COMMITTEE (In Full)	g the name and address of any political committee	to solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. James C Goodfellow		Date of Receipt
Mailing Address 600 Fifth Ave.		10 20 2009
City	State Zip Code	Transaction ID: 8649399
New York	NY 10020-2302	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Fiduciary Trust Company International	Occupation Vice Chairman	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	
Full Name (Last, First, Middle Initial) Mr. Michael Paul McCarthy	l	Date of Receipt
Mailing Address One Franklin Pkwy	,	10 20 2009
City	State Zip Code	Transaction ID: 8649400
San Mateo	CA 94403-1906	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Franklin Templeton Invest- ments	Occupation Portfolio Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	
Full Name (Last, First, Middle Initial) Mr. Donald J Boteler		Date of Receipt
Mailing Address 1401 H Street NW Suite 1200		10 30 2009
City	State Zip Code	Transaction ID: 8681645
Washington	DC 20005-2202	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	45.45
Name of Employer Investment Company Instit- ute	Occupation VP - Operations & Training	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 818.10	
SUBTOTAL of Receipts This Page (option	al)	1545.45

,	SCHEDULE A (FEC Form 3X)	Use separate schedule(s)	FOR LINE NUMBER: PAGE 15 / 28
	TEMIZED RECEIPTS	for each category of the	(check only one)
ı	I EMIZED RECEIP 13	Detailed Summary Page	X 11a 11b 11c 12
		2 stance carrinary r ago	13 14 15 16 17
	Any information copied from such Reports and State	ments may not be sold or used by any persor	n for the purpose of soliciting contributions
	or for commercial purposes, other than using the na	me and address of any political committee to s	solicit contributions from such committee.
	NAME OF COMMITTEE (In Full)		
	NVESTMENT COMPANY INSTITUTE P	OLITICAL ACTION COMMITTEE (ICI	PAC)
Α.	Full Name (Last, First, Middle Initial) Mr. Jim Hart		Date of Receipt
	Mailing Address 1401 H Street NW Suite 1200		10 30 2009
	City	State Zip Code	Transaction ID: 8681646
	Washington	DC 20005-2202	Amount of Each Receipt this Period
		0 0 0 0 0 0	
	FEC ID number of contributing federal political committee.	C	22.73
	Name of Employer Investment Company Instit-	Occupation	1
	<u>ute</u>	Political Affairs Officer	_
	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General	409.14	
	Other (specify)	100.11	
- В.	Full Name (Last, First, Middle Initial) Ms. Karrie McMillan		Date of Receipt
	Mailing Address 6411Furlong Rd		M M / D D / Y Y Y Y
			10 30 2009
	City	State Zip Code	Transaction ID: 8681647
	<u>McLean</u>	VA 22101	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	90.90
	Name of Employer Willkie Farr & Gallagher	Occupation	†
	Wilkie Farr & Gallagner LLP	General Counsel	
	Receipt For:	Aggregate Year-to-Date ▼	1
	Primary General		
	Other (specify) 🔻	1636.20	
- C.	Full Name (Last, First, Middle Initial) Mr. Mike McNamee		Date of Receipt
J .	Mailing Address 1401 H Street NW Suite 1200		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State Zip Code	Transaction ID: 8681648
	Washington	DC 20005-2202	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	22.73
	Name of Employer Investment Company Instit- ute	Occupation Senior Director, Public Communicatio	ns
	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General		
	Other (specify) ▼	409.14	
Γ			10000
	SUBTOTAL of Receipts This Page (optional)	<u> </u>	136.36
- 1			

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 16 / 28 (check only one) X
or for commercial purposes, other than using NAME OF COMMITTEE (In Full)	nd Statements may not be sold or used by any person the name and address of any political committee to UTE POLITICAL ACTION COMMITTEE (IC	on for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. Paul S Stevens Mailing Address 630 South Fairfax S	·	Date of Receipt
City Alexandria	State Zip Code VA 22314-3834	Transaction ID: 8681649 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer	Occupation	227.27
Raffe of Linguiser Investment Company Institute Receipt For: Primary General Other (specify) ▼	President Aggregate Year-to-Date ▼ 4090.86	
Full Name (Last, First, Middle Initial) Mr. William J Lucas Mailing Address 3001 Summer St		Date of Receipt
City	State Zip Code	Transaction ID: 8681650
Stamford	CT 06905-4317	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Fairfield University	Occupation Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	
Full Name (Last, First, Middle Initial) Mr. William Y Yun Mailing Address One Franklin Pkwy	:	Date of Receipt
City	State Zip Code	10 30 2009 Transaction ID: 8681651
San Mateo	CA 94403-1906	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Franklin Templeton Invest- ments Receipt For:	Occupation VP, Alternative Strategies	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	
	al)	1727.27

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS Any information copied from such Reports and	for each category of the Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17
or for commercial purposes, other than using NAME OF COMMITTEE (In Full) INVESTMENT COMPANY INSTITU	the name and address of any political committee to s	olicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. Kenneth J Taubes Mailing Address 60 State St		Date of Receipt
City Boston	State Zip Code MA 02109-1801	Transaction ID: 8681660 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C 02103 1001	1000.00
Name of Employer Pioneer Investment Manage- ment USA Inc. Receipt For: Primary General Other (specify) ▼	Occupation Head of Portfolio Mgmt., U.S. Aggregate Year-to-Date 1000.00	-
Full Name (Last, First, Middle Initial) Mr. Christopher J Molumphy Mailing Address One Franklin Pkwy		Date of Receipt
City San Mateo FEC ID number of contributing federal political committee.	State Zip Code CA 94403-1906 C	Transaction ID: 8681661 Amount of Each Receipt this Period 1000.00
Name of Employer Franklin Templeton Invest- ments Receipt For: Primary General Other (specify) ▼	Occupation Chief Investment Officer- Fixed Income Aggregate Year-to-Date	
Full Name (Last, First, Middle Initial) Mr. Vijay C Advani Mailing Address One Franklin Pkwy		Date of Receipt
City San Mateo	State Zip Code CA 94403-1906	Transaction ID: 8681662 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Franklin Templeton Invest- ments	Occupation EVP, Global Distribution	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 500.00	
SUBTOTAL of Receipts This Page (optional))	2500.00

59818.16

A.

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 18 / 28 (check only one) 11a 11b X 11c 12 13 14 15 16 17
Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) INVESTMENT COMPANY INSTITUT	E POLITICAL ACTION COMMITTEE (ICI	PAC)
Full Name (Last, First, Middle Initial) JPMorgan Chase & Co. PAC Mailing Address 10 S. Dearborn Stree		Date of Receipt 10 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 8681663
Chicago FEC ID number of contributing federal political committee.	C C00128512	Amount of Each Receipt this Period 5000.00
Name of Employer	Occupation	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 5000.00	

SUBTOTAL of Receipts This Page (optional)	•	5000.00
TOTAL This Period (last page this line number only)	<u> </u>	5000.00

Any Information copied from such Reports and Statements may or for commercial purposes, other than using the name and add NAME OF COMMITTEE (In Full) INVESTMENT COMPANY INSTITUTE POLITICAL Full Name (Last, First, Middle Initial) Becerra For Congress Mailing Address P.O. Box 261060 City State Los Angeles CA Purpose of Disbursement Candidate Name Rep. Xavier Becerra Office Sought: House President State: CA District: 31 Full Name (Last, First, Middle Initial) Buck Mckeon For Congress Mailing Address 23942 Lyons Ave #105 City State CA Purpose of Disbursement Candidate Name Rep. Howard P. McKeon Office Sought: House Senate CA Purpose of Disbursement Candidate Name Rep. Howard P. McKeon Office Sought: House Senate CA Primary Other (state: CA) Disbursement For Senate CA Primary Other (state: CA) Full Name (Last, First, Middle Initial)	Zip Code 90026	ed by any person cal committee to s MMITTEE (ICI F O11 Category/ Type	22 X 23 24 25 29 28c 29 a for the purpose of soliciting contributions solicit contributions from such committee
or for commercial purposes, other than using the name and add NAME OF COMMITTEE (In Full) INVESTMENT COMPANY INSTITUTE POLITICAL Full Name (Last, First, Middle Initial) Becerra For Congress Mailing Address P.O. Box 261060 City State Los Angeles CA Purpose of Disbursement Candidate Name Rep. Xavier Becerra Office Sought: X House President State: CA District: 31 Full Name (Last, First, Middle Initial) Buck Mckeon For Congress Mailing Address 23942 Lyons Ave #105 City State Santa Clarita CA Purpose of Disbursement Candidate Name Rep. Howard P. McKeon Office Sought: X House Disbursement For Senate Primary State CA Purpose of Disbursement Candidate Name Rep. Howard P. McKeon Office Sought: X House Disbursement For Senate President State: CA District: 25 Full Name (Last, First, Middle Initial)	Zip Code 90026	O11 Category/ Type	Transaction ID: 8300913 Date of Disbursement Mominum / Disbursement this Period Amount of Each Disbursement Transaction ID: 8300914 Date of Disbursement Mominum / Disbursement Transaction ID: 8300914 Date of Disbursement Mominum / Disbursement Amount of Each Disbursement this Period
NAME OF COMMITTEE (In Full) INVESTMENT COMPANY INSTITUTE POLITICAL Full Name (Last, First, Middle Initial) Becerra For Congress Mailing Address P.O. Box 261060 City State Los Angeles CA Purpose of Disbursement Candidate Name Rep. Xavier Becerra Office Sought: X House Senate Primary President State: CA District: 31 Full Name (Last, First, Middle Initial) Buck Mckeon For Congress Mailing Address 23942 Lyons Ave #105 City State Santa Clarita CA Purpose of Disbursement Candidate Name Rep. Howard P. McKeon Office Sought: X House Disbursement For Senate Primary Office Sought: X House Office Sought: X House Senate Primary Office Sought: X House Office Sought: X House Office Sought: X Primary Office Sought: X House Office Sought: X Primary Office State: CA District: 25 Full Name (Last, First, Middle Initial)	Zip Code 90026 T: 2010 X General specify) ▼	O11 Category/ Type	Transaction ID: 8300913 Date of Disbursement M M / D D D / Y Y Y O Y Y Amount of Each Disbursement this Period 1000.00 Transaction ID: 8300914 Date of Disbursement M M / D D D / Y Y Y Y O Y Y Amount of Each Disbursement Amount of Each Disbursement this Period
Becerra For Congress Mailing Address P.O. Box 261060 City State Los Angeles CA Purpose of Disbursement Candidate Name Rep. Xavier Becerra Office Sought: X House President State: CA District: 31 Full Name (Last, First, Middle Initial) Buck Mckeon For Congress Mailing Address 23942 Lyons Ave #105 City State CA Purpose of Disbursement Candidate Name Rep. Howard P. McKeon Office Sought: X House Disbursement For Candidate Name Rep. Howard P. McKeon Office Sought: X House Disbursement For Candidate Name Rep. Howard P. McKeon Office Sought: X House Disbursement For Candidate Name Rep. Howard P. McKeon Office Sought: X House Disbursement For Candidate Name Rep. Howard P. McKeon Office Sought: X House Disbursement For Candidate Name Rep. Howard P. McKeon Office Sought: X House Disbursement For Candidate Name Rep. Howard P. McKeon Office Sought: X House Disbursement For Candidate Name Rep. Howard P. McKeon Office Sought: X House Disbursement For Candidate Name Rep. Howard P. McKeon Office Sought: X House Disbursement For Candidate Name Rep. Howard P. McKeon Office Sought: X House Disbursement For Candidate Name Rep. Howard P. McKeon	90026 T: 2010 X General specify) ▼ Zip Code	Category/ Type	Date of Disbursement M M M / D D D / Y Y Y O Y 9 Amount of Each Disbursement this Period 1000.00 Transaction ID: 8300914 Date of Disbursement M M M / D D D / Y Y Y O Y 9 Amount of Each Disbursement this Period
City Los Angeles CA Purpose of Disbursement Candidate Name Rep. Xavier Becerra Office Sought: X House Primary Other (state: CA District: 31 Full Name (Last, First, Middle Initial) Buck Mckeon For Congress Mailing Address 23942 Lyons Ave #105 City State CA Purpose of Disbursement Candidate Name Rep. Howard P. McKeon Office Sought: X House Senate Primary Other (state: CA) City State CA Purpose of Disbursement Candidate Name Rep. Howard P. McKeon Office Sought: X House Senate President State: CA State: CA District: 25 Full Name (Last, First, Middle Initial)	90026 T: 2010 X General specify) ▼ Zip Code	Category/ Type	Amount of Each Disbursement this Period 1000.00 Transaction ID: 8300914 Date of Disbursement M M / D D D Y Y Y Y O Y O Y Amount of Each Disbursement this Period
Los Angeles Purpose of Disbursement Candidate Name Rep. Xavier Becerra Office Sought: X House President State: CA District: 31 Full Name (Last, First, Middle Initial) Buck Mckeon For Congress Mailing Address 23942 Lyons Ave #105 City Santa Clarita Purpose of Disbursement Candidate Name Rep. Howard P. McKeon Office Sought: X House President State: CA District: 25 Full Name (Last, First, Middle Initial)	90026 T: 2010 X General specify) ▼ Zip Code	Category/ Type	Transaction ID: 8300914 Date of Disbursement M M M D D D Y Y Y Y O Y O Y Amount of Each Disbursement this Period
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Rep. Xavier Becerra Office Sought: X House Senate Primary President State: CA District: 31 Full Name (Last, First, Middle Initial) Buck Mckeon For Congress Mailing Address 23942 Lyons Ave #105 City State CA Purpose of Disbursement Candidate Name Rep. Howard P. McKeon Office Sought: X House Senate President Senate President State: CA State: CA District: 25 Full Name (Last, First, Middle Initial)	X General specify) ▼ Zip Code	Type I	Date of Disbursement M
Senate President State: CA District: 31 Full Name (Last, First, Middle Initial) Buck Mckeon For Congress Mailing Address 23942 Lyons Ave #105 City Santa Clarita Purpose of Disbursement Candidate Name Rep. Howard P. McKeon Office Sought: X House President State: CA District: 25 Full Name (Last, First, Middle Initial)	X General specify) ▼ Zip Code	011	Date of Disbursement Mode
Full Name (Last, First, Middle Initial) Buck Mckeon For Congress Mailing Address 23942 Lyons Ave #105 City State CA Purpose of Disbursement Candidate Name Rep. Howard P. McKeon Office Sought: X House Senate President President State: CA State: CA District: 25 Full Name (Last, First, Middle Initial)			Date of Disbursement Mode
City State Santa Clarita CA Purpose of Disbursement Candidate Name Rep. Howard P. McKeon Office Sought: X House Senate President President State: CA District: 25 Full Name (Last, First, Middle Initial)			Amount of Each Disbursement this Perio
Santa Clarita Purpose of Disbursement Candidate Name Rep. Howard P. McKeon Office Sought: X House Senate President President State: CA District: 25 Full Name (Last, First, Middle Initial)			
Candidate Name Rep. Howard P. McKeon Office Sought: X House Senate President State: CA District: 25 Full Name (Last, First, Middle Initial)			1000.00
Rep. Howard P. McKeon Office Sought: X House Senate President State: CA District: 25 Full Name (Last, First, Middle Initial)			
Senate X Primary President Other (s State: CA District: 25 Full Name (Last, First, Middle Initial)		Category/ Type	
Full Name (Last, First, Middle Initial)		l	
Article 1 PAC			Transaction ID: 8300915 Date of Disbursement
Mailing Address 1341 G Street, NW Suite 740			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City State Washington DC	Zip Code 20005		Amount of Each Disbursement this Perio
Purpose of Disbursement		011	1000.00
Candidate Name Article 1 PAC		Category/ Type	
Office Sought: House Disbursement For Senate Primary President Other (s		I	
State: District:	. , , ,		

CHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE (check only	
TEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 27	22 X 23 24 25 28a 28b 28c 29
ny Information copied from such Reports and Star for commercial purposes, other than using the n			
NAME OF COMMITTEE (In Full) NVESTMENT COMPANY INSTITUTE	OLITICAL ACTION COMMIT	TEE (ICI PA	AC)
Full Name (Last, First, Middle Initial) Friends Of Sam Johnson			Transaction ID: 8300916 Date of Disbursement
Mailing Address P.O. Box 860096			10 15 7 2009
City Plano	State Zip Code TX 75086		Amount of Each Disbursement this Period
Purpose of Disbursement		011	1000.00
Candidate Name Rep. Samuel Robert Johnson		Category/ Type	
Office Sought: X House Senate President State: TX District: 03	sement For: 2010 X Primary General Other (specify)		
Full Name (Last, First, Middle Initial) Geoff Davis For Congress			Transaction ID: 8300917 Date of Disbursement
Mailing Address 3161 Dixie Highway Suite F			$\begin{bmatrix}\begin{smallmatrix}M&M\\1&0\end{smallmatrix}\end{bmatrix}^M \begin{bmatrix}\begin{smallmatrix}D&D\\1&5\end{smallmatrix}\end{bmatrix}^M \begin{bmatrix}\begin{smallmatrix}Y&Y&Y&Y\\2&0&0&9\end{smallmatrix}\end{bmatrix}^Y$
City Erlanger	State Zip Code KY 41018		Amount of Each Disbursement this Period
Purpose of Disbursement		011	1500.00
Candidate Name Rep. Geoffrey Davis		Category/ Type	
Office Sought: X House Senate President State: KY District: 04	sement For: 2010 X Primary General Other (specify)		
Full Name (Last, First, Middle Initial) Senate Majority Fund			Transaction ID: 8300922 Date of Disbursement
Mailing Address P.O. Box 32025			$\begin{bmatrix} \begin{smallmatrix} M & M \\ 1 & 0 \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} D & D \\ 1 & 5 \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ 2 & 0 & 0 & 9 \end{smallmatrix} \end{bmatrix}$
City Phoenix	State Zip Code AZ 85064		Amount of Each Disbursement this Period
Purpose of Disbursement		011	1000.00
Candidate Name Senate Majority Fund		Category/ Type	
Office Sought: House Disbuter Senate President State: District:	sement For: Primary General Other (specify) ▼		
SUBTOTAL of Disbursements This Page (option	l)		3500.00
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Full N Wyd Mailir City Portl Purpo Cand Sen. Office State Full N Kline	ME OF COMM/ESTMENT Name (Last, Iden For Selling Address Itland pose of Disbuildidate Name In. Ron Wyder See Sought: e: OR Name (Last, Iden Iden Iden Iden Iden Iden Iden Iden	MITTEE (In Full) COMPANY INSTI First, Middle Initial) nate 232 Ne 9th Ave rsement en House x Senate President District:	TUTE POLIT	e e	ACTION COMI	MITTEE (ICI P	Transaction ID: 8300923 Date of Disbursement M M / D 1 5 / Y 2 0 0 9 Y Amount of Each Disbursement this Perio
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State Full N Kline Mailir	e: OR Name (Last,	X Senate President District:	X Pri	nt For:		Туре	
Full N Kline Mailir	Name (Last,			•	2010 General		
Mailir		First, Middle Initial)					Transaction ID: 8300924
	ne For Cong						Date of Disbursement 10 15 2009
City	ling Address	101 W Burnsvil Suite 104	lle Pkwy Suite	e 104			10 15 2009
	nsville		State MN		Zip Code 55337		Amount of Each Disbursement this Perio
Purpo	pose of Disbu	rsement				011	1000.00
	didate Name o. John P. K	lline				Category/ Type	
	ce Sought: e: MN	X House Senate President District: 02	Disbursemer X Pri Oth	imary	2010 General		
Full N	Name (Last,	First, Middle Initial) nn Thompson					Transaction ID: 8300925 Date of Disbursement
Mailir	ing Address	PO Box 1112					10 15 / 2009
City State	te College		State PA		Zip Code 16804		Amount of Each Disbursement this Perio
	pose of Disbu	rsement				011	1000.00
Rep.	didate Name o. Glenn W.	<u> </u>	1			Category/ Type	
Office	ce Sought:	X House Senate President	Disbursemer X Pri	imary	2010 General ecify) ▼		
State	e: PA	District: 05		(opc	····J/ ▼		

Gaithersburg Purpose of Disbursement Candidate Name Rep. Nydia M. Velazquez Office Sought: X House Senate President State: NY District: 12 Full Name (Last, First, Middle Initial) TOMPAC Mailing Address 320 First Street, SE MD 20878 1000.00 Category/ Type Category/ Type Other (specify) ▼ Transaction ID: 8300927 Date of Disbursement M M M / D D D / Y Y Y O Y 9 Tompace Mailing Address 320 First Street, SE		LE D (FEC FOIIII 3A	' Use sep	arate schedule(s)		NUMBER: PAGE 22 / 28
NAME OF COMMITTEE (In Full) NAME OF COMMITTEE (In Full) INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC) Full Name (Last, First, Middle Initial) Committee To Re-Elect Nydia M. Velazquez To Congre Mailing Address 315 Inspiration Lane			Detailed	Summary Page	21b 27	22 X 23 24 25 28a 28b 28c 29
Investment Company Institute Political Action Committee (ICI PAC)	or for commerc	ial purposes, other than using t				
Committee To Re-Elect Nydia M. Velazquez To Congre Mailing Address 315 Inspiration Lane City	\	, ,	TE POLITICAL /	ACTION COMM	MITTEE (ICI P	PAC)
City State Nydia M. Velazquez Office Sought:		•	elazquez To Con	gre		Date of Disbursement
Gaithersburg MD 20878 Purpose of Disbursement Candidate Name Rep. Nydia M. Velazquez Office Sought:	Mailing Add	ress 315 Inspiration La	ne			10 15 / 2009
Candidate Name Rep. Nydia M. Velazquez Office Sought:	Gaithersb					Amount of Each Disbursement this Perio
Rep. Nydia M. Velazquez Office Sought:						1000.00
Senate President State: NY District: 12 Full Name (Last, First, Middle Initial) TOMPAC Mailing Address 320 First Street, SE City Washington DC 20003 Purpose of Disbursement Candidate Name Office Sought: House President President Disbursement State: District: Full Name (Last, First, Middle Initial) JOE PAC Mailing Address 84-54 Grand Avenue City State Zip Code Primary General Other (specify) ▼ Transaction ID: 8300927 Date of Disbursement this Perior Senate President Disbursement this Perior Tansaction ID: 8300929 Date of Disbursement Tor: Full Name (Last, First, Middle Initial) JOE PAC Mailing Address 84-54 Grand Avenue City State Zip Code Elmhurst NY 11373 Purpose of Disbursement Candidate Name JOE PAC Office Sought: House Senate Primary General Disbursement this Perior Type Office Sought: Other (specify) ▼ Amount of Each Disbursement this Perior Type Amount of Each Disbursement this Perior Type Other (specify) ▼ Amount of Each Disbursement this Perior Type Other (specify) ▼	Rep. Nydi	a M. Velazquez	Viahuraamant Fari	2010		
Full Name (Last, First, Middle Initial) TOMPAC Mailing Address 320 First Street, SE City State Zip Code Washington DC 20003 Purpose of Disbursement Candidate Name Office Sought: House Senate Primary General District: Full Name (Last, First, Middle Initial) JOE PAC Mailing Address 84-54 Grand Avenue City State Zip Code State Zip Code Senate Primary General District: Full Name (Last, First, Middle Initial) JOE PAC Mailing Address 84-54 Grand Avenue City State Zip Code NY 11373 Purpose of Disbursement Candidate Name Other (specify) ▼ Amount of Each Disbursement this Perior Amount of Each Disbursement this Perior Date of Disbursement Transaction ID: 8300929 Date of Disbursement 1 0 1 1 5 / Y 2 0 0 9 ✓ Amount of Each Disbursement 1 0 1 1 5 / Y 2 0 0 9 ✓ Amount of Each Disbursement this Perior Date of Disbursement this Perior Date of Disbursement Senate Primary General Primary General Other (specify) ▼ Office Sought: House Senate Primary General Other (specify) ▼ Office Sought: President Other (specify) ▼ Other (specify) ▼ Other (specify) ▼		Senate President	X Primary	General		
TOMPAC Mailing Address 320 First Street, SE City Washington Purpose of Disbursement Candidate Name Office Sought: Full Name (Last, First, Middle Initial) JOE PAC City Elmhurst Purpose of Disbursement Candidate Name City Elmhurst Purpose of Disbursement Candidate Name City Candidate Name Condidate Name Condidate Name JOE PAC Office Sought: Disbursement Disbursement For: Category/ Type Office Sought: Disbursement Disbursement For: Senate Primary General Other (specify) Disbursement For: Senate Primary General Other (specify) Disbursement Disbursement For: Disb						Transaction ID: 8300927
City Washington DC 20003 Purpose of Disbursement Candidate Name Office Sought: House Senate Primary General Other (specify) ▼ City State Zip Code DC 20003 Transaction ID: 8300929 Date of Disbursement Transaction ID: 8300929 Date of Disbursement Mailing Address 84-54 Grand Avenue City State Zip Code Elmhurst NY 11373 Purpose of Disbursement Candidate Name JOE PAC Office Sought: House Disbursement For: Senate NY 11373 Purpose of Disbursement Candidate Name JOE PAC Office Sought: House Disbursement For: Senate Primary General Other (specify) ▼ Office Sought: House Disbursement For: Senate Primary General Other (specify) ▼ Other (specify) ▼ Amount of Each Disbursement this Perior Category/ Type Office Sought: House Disbursement For: Senate Primary General Other (specify) ▼	TOMPAC					Date of Disbursement
Purpose of Disbursement Candidate Name Office Sought: House Senate Primary General Other (specify) ▼ State: District: Full Name (Last, First, Middle Initial) JOE PAC Mailling Address 84-54 Grand Avenue City State Zip Code Elmhurst NY 11373 Purpose of Disbursement Candidate Name JOE 20003 2500.00 Transaction ID: 8300929 Date of Disbursement Mo	Mailing Add	ress 320 First Street, S	E			10 15 2009
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NAME OF C	OMMITTEE (In Full)	•			
•	ast, First, Middle Initial) bbell For Congress				Transaction ID: 8667761 Date of Disbursement
Mailing Addre	ess 4590 Macarthi Suite 500	ur Boulevard			$\begin{bmatrix}\begin{smallmatrix}M\\1\end{smallmatrix}0&M\end{smallmatrix} \ / \ \begin{bmatrix}\begin{smallmatrix}D\\2\end{smallmatrix}3&\end{smallmatrix} \ / \ \begin{bmatrix}\begin{smallmatrix}Y\\2\end{smallmatrix}0&0\end{smallmatrix}9&9$
City Newport Be		State CA	Zip Code 92660		Amount of Each Disbursement this Perio
Purpose of D				011	1000.00
Candidate Na Rep. John	Campbell	1		Category/ Type	
Office Sough State: CA	Senate President	Disbursement For: X Primary Other (sp	2010 General ecify)		
	District: 48 ast, First, Middle Initial) Congress				Transaction ID: 8667763 Date of Disbursement
Mailing Addre	ess PO Box 9639				$\begin{bmatrix}\begin{smallmatrix}M\\1\end{smallmatrix}0^{M}\end{smallmatrix} / \begin{bmatrix}\begin{smallmatrix}D\\2\end{smallmatrix}3^{D}\end{smallmatrix} / \begin{bmatrix}\begin{smallmatrix}Y\\2\end{smallmatrix}0^{Y}0^{Y}9^{Y}\end{smallmatrix}$
City Bowling Gr	een	State KY	Zip Code 42102		Amount of Each Disbursement this Perio
Purpose of D				011	2000.00
Candidate Na Rep. Brett				Category/ Type	
Office Sough	t: X House Senate President District: 02	Disbursement For: X Primary Other (sp	2010 General ecify)		
Full Name (L	ast, First, Middle Initial) Hunter For Congress	3			Transaction ID: 8667765 Date of Disbursement
Mailing Addre	ess 9340 Fuerte D	rive Suite 302			10 23 / 2009
City La Mesa		State CA	Zip Code 91941		Amount of Each Disbursement this Period
Purpose of D				011	1000.00
	an L. Hunter	1		Category/ Type	
Office Sough	t: X House Senate	Disbursement For: X Primary	2010 General		
Since Sough	President	Other (sp	ecity) 🔻	I	

A.

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page FOR LINE (check onl 21b 27)	PAGE 28 / 28 ly one) 22
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NAME OF COMMITTEE (In Full) INVESTMENT COMPANY INSTITUTE POI	LITICAL ACTION COMMITTEE (ICI F	PAC)
Full Name (Last, First, Middle Initial) Richard Burr Committee; The Mailing Address Post Office Box 5928		Transaction ID: 8667767 Date of Disbursement 10
	State Zip Code NC 27113	Amount of Each Disbursement this Period 1000.00
Candidate Name Sen. Richard Burr	Category/ Type	
Office Sought: House Disburser X Senate President State: NC District:	ment For: 2010 Primary X General Other (specify) ▼	

SUBTOTAL of Disbursements This Page (optional)	•	1000.00
TOTAL This Period (last page this line number only)	<u> </u>	37500.00